






Ref	Description of Risk/ Opportunity	Risk Level	Further Action required	Accountable Officer	Accountable Group	Corporate Priorities 2016-20	Assurance level of controls (1 to 5)	Direction of Travel
L1	Continue delivering further efficiency savings through the efficiency plan, income generation plan and manage changes to funding for SCC services	High	Manage agreed savings plan. Identification of new sources of revenue	Director of Finance & Resources with efficiencies being delivered by Heads of Service	Statutory Officers & Leadership Team	Managing Resources – Delivering further efficiency savings and cost reductions	4	Reworded 
L2	Lack of an appropriate Pay and Performance structure	Medium	Reward, recognition and performance have been reviewed and staff consulted. A proposal will be going to committee in November.	Leadership Team and Head of HR & Org Dev.	Leadership Team	Managing Resources – Developing multi skilled & motivated staff & delivering further efficiency savings and cost reductions	3	Reduced to a Medium Risk 
L3	Develop commercialisation to transform the organisation	High	Continue to review skills and resources. Build into Service Plans for 18/19. Review Digital Strategy in line with IT Strategy.	Leadership Team and Head of HR & Org Dev.	Leadership Team/ HR Panel	Developing multi skilled & motivated staff	3	Unchanged 



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L4	IT stability, systems and support and governance structure to deliver IT agenda and managing the split of the shared service.	High	Complete the separation of the shared service and agree arrangements for the Datacentre. Strengthen staffing resources and external support for infrastructure Review of DR requirements and business continuity arrangements in IT.	Acting Head of IT	Leadership Team	Underpinning all Corporate Priorities.	3	Updated 
L5	Compliance with the new GDPR by May 2018 and IG legislation and best practice	High	An action plan is being agreed for implementing GDPR and a new post of Information Governance Officer is being created to ensure that the Council will be compliant by May 2018	Head of Legal/Head of Corporate Governance	Statutory Officer	Providing services digitally	2	New
L6	Managing the debt from external borrowing to fund property	High	Ensure provisions are made for investment property to have an established set aside for future voids and	Property Investment Group & Acting Director of	S&R	Underpinning all Corporate Priorities.	4	No change 



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	acquisitions and manage any risks to the Council		maintenance. Review and update the Property Investment Strategy and ensure governance arrangements followed for purchase of property and future developments	Finance & Resources				
L7	Delivering and implementing the Local Plan	High	An updated timetable has been agreed for the implementation of the Local Plan with the pre submission consultation partial review of the core strategy to be completed by 31st March.	Leadership Team	L&PPC	Underpinning all Corporate Priorities. Statutory requirement.	3	Updated 
L8	Monitoring the Plan E Project Plan and assess impact.	High	Monitor the Project Plan with SCC as work has commenced. Communicate information on works and clarify the wider economic implications to the public and businesses	Head of Place Dev	Leadership Team & S&R	Managing Resources	2	Reworded 

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L9	Quality of decision making in planning putting the Council at risk of special measures by the DCLG	High	Agree an improvement plan from the Planning Peer Review and implement Training to be agreed.	Head of Place Dev	Leadership Team	Statutory requirement	2	Unchanged 
L10	Impact of welfare reforms including the homeless reduction act	Medium	No of households in temporary accommodation are reducing. In additional properties are being purchased to reduce costs and the Private Sector Leasing Scheme is now operational. The implications of the act are being assessed. as part of targets	Head of Housing & Community & Director of Finance & Resources	S&R/ C&W	Supporting and enabling the delivery of affordable homes. Supporting those at risk of homelessness	4	Unchanged 

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ADDED

L5 –GDPR &Information Governance

REMOVED

L5 –Simply Weekly Recycling

AMENDMENTS

L1- Delivering Further efficiency savings - reworded

L2- lack of an appropriate pay &performance structure – reduced from High to Medium

L4–ICT infrastructure, systems and resilience - amended

L6 – Increased debt from borrowing – reworded

L7- Delivering the Local Plan – updated

L8 – Plan E- reworded

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Defined Strategic Risk Levels

	Low	Medium	High
<u>Impact of risk materialising</u>			
Finance –	Budget pressures < £100,000	Budget pressures between £100,000 and £200,000	Budget pressures > £200,000
Reputation	Loss of confidence and trust in the council felt by a small group or within a small geographical area	A limited loss of confidence and trust in the council within the local community	A major loss of confidence and trust in the council within the local community and wider with national interest
Service delivery	Disruption to a council service	Some disruption to more than one service across the council or severe disruption to one service	Severe wide spread disruption to services across the council
Health and Safety	Minor injury or illness requiring minimal intervention or treatment	Moderate injury or illness requiring professional intervention / multiple minor injuries	Extensive and multiple injuries including possible loss of life
Likelihood of risk materialising	Remote / low probability	Possible / medium probability	Almost certain / highly probably

The above is not prescriptive criteria, but a guidance tool for management.

Assurance levels of the controls scored 1 to 5

Strength of controls is scored on a scale of 1(low) to 5 (high) to identify what is in place to mitigate the risks including controls, processes, action plans and targets.

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